

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

205429US0

SERIAL NO.

09/820,749

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Masayuki MOTONARI, et al.

FILING DATE

March 30, 2001

GROUP

1755

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	PATENT ABSTRACTS OF JAPAN, JP2001-196336, July 19, 2001.	
	AX	PATENT ABSTRACTS OF JAPAN, JP2002-121541, April 26, 2002.	
	AY	PATENT ABSTRACTS OF JAPAN, JP2001-152133, June 5, 2001.	
	AZ	PATENT ABSTRACTS OF JAPAN, JP2001-152135, June 5, 2001.	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Docket No. 205429US0



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Masayuki MOTONARI, et al.

SERIAL NO: 09/820,749

GAU: 1755

FILED: March 30, 2001

EXAMINER: E.D. WOOD

FOR: AQUEOUS DISPERSION FOR CHEMICAL MECHANICAL POLISHING

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

11/23/2004 LWONDIM1 00000038 09820749

03 FC:1806

180.00 OP

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.  
Norman F. Oblon

James J. Kelly

Registration No. 41,504

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

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	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	8-83780	03/26/96	JAPAN (with English Abstract)		X
	AP	10-116804	05/06/98	JAPAN (with English Abstract)		X
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

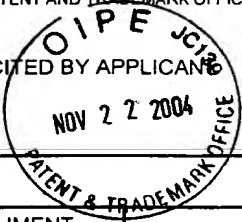
Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 205429US0		SERIAL NO. 09/820,749	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Masayuki MOTONARI, et al.			
				FILING DATE March 30, 2001		GROUP 1755	
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<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	1 104 778	06/06/2001	EUROPE			
	AP	0 846 742	06/10/98	EUROPE			
	AQ	WO 99/64527	12/16/99	WIPO			
	AR						
	AS						
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	Patent Abstracts of Japan, JP 10-116804, May 6, 1998					
	AX						
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	AZ						
Examiner					Date Considered		
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**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0039-7518-0X	09/484,252	01/18/00	PENDING
0039-7519-0X	09/484,064	01/18/00	PENDING
0039-7632-0X	09/531,163	03/17/00	PENDING
195795US0	09/638,062	08/15/00	PENDING
197006US0	09/655,305	09/05/00	PENDING
197949US0	09/672,310	09/29/00	PENDING
200060US0	09/716,384	11/21/00	PENDING
201426US0	09/756,193	01/09/01	PENDING
202784US0	09/778,817	02/08/01	PENDING
205145US0	09/816,397	03/26/01	PENDING
208716US0	09/858,924	05/17/01	PENDING
205429US0*	09/820,749	03/30/01	PENDING

\*Present application; listed for information.

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